### World Health Organization Blue Book



# for Dummies!

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World Health Organization Blue Book Pathology and Genetics of Head and Neck Tumours

#### Highly templated style

- Definition
- ◆ Epidemiology
- Etiology
- Localization
- Clinical features
- Radiographic findings
- Tumour spread and
- staging
- Macroscopy
- Histopathology
- Ancillary studies (histochemical, immunohistochemical, ultrastructural, molecular, and genetic)
- Differential diagnosis
- Precursor lesions
- Histogenesis
- Prognosis and predictive factors
- Methods of treatment



Chapters

- 2. Nasopharynx
- 3. Hypopharynx, Larynx and Trachea
- 4. Oral Cavity and Oropharynx
- 5. Salivary Glands
- 6. Odontogenic Tumors
- 7. Ear
- 8. Paraganglionic System





### General Considerations

- ◆1% of all tumors (considered under-reported)
- Most common in adults
- Increased frequency in females with Warthin tumor
- ◆Fine needle aspiration first line screening test
- ◆Little known about etiology
- ♦ Site helps separate benign and malignant
- ◆Clinic stage is important
- Molecular techniques slow to catch on

◆ Pleomorphic adenoma
 →8q12 (*PLAG1*) (40%) (increased *PLAG1*)
 →12q14-15 (HMGA2) (8%)

Genetics

◆ Mucoepidermoid carcinoma
 →t(11;19)(q21;p13): MECT1-MAML2
 → About 70% of low grade tumors

#### Immunohistochemistry

- ◆Ductal cell differentiation
   →Keratin (AE1/AE3), CAM5.2, EMA, CEA
- Myoepithelial cell differentiation
   Smooth muscle actin, p63, S-100 protein, calponin, GFAP, caldesmon, myosin, MSA
  - →Keratin (AE1/AE3), CAM5.2, CK14











Tumor Site Distribution

Tumor Site Distribution Minor Salivary Glands Only







Differential Diagnosis By Anatomic Site

- ◆Upper lip
  - →Pleomorphic adenoma (mixed tumor)
- →Canalicular adenoma
- ◆Lower lip
- ♦Floor of mouth
- Palate
- Parotid

Differential Diagnosis By Anatomic Site

- ◆Upper lip
- Lower lip
  - →Mucocele
  - →Mucoepidermoid carcinoma
  - →Pleomorphic adenoma (mixed tumor)
- Floor of mouth
- Palate
- Parotid

Differential Diagnosis By Anatomic Site

- ◆Upper lip
- ◆Lower lip
- Floor of mouth (sublingual & submandibular)
  - →Mucoepidermoid carcinoma
  - →Adenoid cystic carcinoma
  - → Pleomorphic adenoma (mixed tumor)
- ♦Palate
- Parotid

- ◆Upper lip
- ◆Lower lip
- ◆Floor of mouth
- ♦Palate
  - →Pleomorphic adenoma (mixed tumor)
  - →Mucoepidermoid carcinoma
  - →Polymorphous low-grade adenocarcinoma

Differential Diagnosis By Anatomic Site

- →Adenoid cystic carcinoma
- Parotid

Differential Diagnosis By Anatomic Site

- ♦Upper lip
- ◆Lower lip
- ♦Floor of mouth
- ♦Palate
- Parotid
  - →Pleomorphic adenoma (mixed tumor)
  - →Warthin tumor
  - →Mucoepidermoid carcinoma
  - →Acinic cell adenocarcinoma

Benign versus Malignant

#### ♦Rate of growth

- →Benign: slow, steady growth (low mitoses)
- → Malignant: rapid increase in size (high mitoses)
  - ✓Very worrisome if longstanding lesion suddenly develops rapid growth

### Benign versus Malignant

## Relationship with surrounding structures

- →Fixation
  - ✓Benign: Freely movable (palate excluded)
  - ✓ Malignant: Adherent to surrounding tissue
- →Ulceration
  - ✓Benign: Overlying epithelium intact
  - ✓Malignant: Ulceration of overlying epithelium
- →Paresthesia (due to nerve invasion by tumor)
  - ✓Benign: No change in sensation
  - ✓Malignant: Paresthesia common

#### Benign versus Malignant

- Circumscription
  - →Benign: Encapsulated; well circumscribed→Malignant: Poorly circumscribed; infiltrative
  - ... BUT Be aware of multifocality and minor salivary gland location

Multifocal, Multilobular, & Without a Capsule

- ◆Pleomorphic adenoma
- Basal cell adenoma
- Canalicular adenoma
- ♦ Warthin tumor
- ♦Cystadenomas
- Oncocytic lesions
  - →Oncocytoma vs. nodular hyperplasia













### Benign versus Malignant

- ♦Cytological atypia
  - →But malignant tumors are frequently bland
  - →Profoundly pleomorphic ✓ Salivary duct carcinoma







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Pleomorphic Adenoma Clinical

- Most common salivary gland neoplasm
- ◆Age: 30 60 years
- ♦Sex: F~M
- Site: Parotid most common site 75% superficial lobe; 25% deep lobe Palate next most common
- ◆Slow growing, painless, lobular mass→Can reach huge size



Pleomorphic Adenoma Macroscopic

Tumor is epithelial (ductal), basal, and myoepithelial with mesenchymal component (myxoid, chondroid, hyaline, osseous)

- Tumor may be multinodular
- Tumor has "pseudopods" that bulge outwards
- Margins are difficult to assess
  - →Tumor without parotid tissue surrounding it
  - →The capsule may rest on the nerve(s)











Pleomorphic Adenoma

### Remarkably variable histology

- →Solid, tubular, trabecular, cystic
- →Cells literally "melt" into the chondroid or myxoid background stroma
- →Stroma may be heavily fibrotic/hyalinized
- →Spindled, epithelioid, glandular, & plasmacytoid cells
- ightarrowSquamous metaplasia is common
- ➔Increased mitotic figures s/p FNA







Myoepithelioma

- A benign epithelial tumor composed of spindle, plasmacytoid, epithelioid, and clear myoepithelial cells
- ◆Age: Mean 45 years
- ♦Sex: Equal
- Site: Parotid gland and palate
- Encapsulated

Myoepithelioma

- Similar to PA: except
  - →<u>No</u> myxochondroid matrix
  - →<u>No</u> ductal elements
- Plasmacytoid cells in a mucoid stroma and/or interlacing fascicles of spindled cells
- ◆Immunohistochemistry
  - →Positive: CK5/6, p63, SMA, SMMHC, caldesmon, calponin
  - →Rare S100 protein positive cells
  - →Negative with GFAP



*Immunohistochemistry* 

- Epithelial
  - →Keratin, EMA, CK5/6, CK7
- Myoepithelial
  - →Smooth muscle actin, muscle specific actin, p63, GFAP, S-100 protein, calponin
- Pleomorphic adenoma with 12q chromosomal abnormalities show increased risk of developing carcinoma



### Pleomorphic Adenoma

- Recurrence vs. Recrudescence vs. Residual
- ♦"Benign" metastasis
- Malignant transformation can be seen in long standing tumors

#### Past Management

- ◆Local anaesthetic
- Direct incision over lump
- Remove some/any parotid tissue
- ◆High recurrence rate
   →70% Lanier 1972

◆Age:

 $\bullet$ Sex: M = F

nerve symptoms

#### Pleomorphic Adenoma

#### Present management

- General anaesthesia
- Remove ALL parotid tissue (superficial and/or deep lobes)
- ♦<2% recurrence 10 yr</p>

Carcinoma Ex-Pleomorphic Adenoma

Elderly (usually >60 yrs)

Clinical

Carcinoma Ex-Pleomorphic Adenoma Demographics

- ◆ About 6-10% of PA develop carcinoma
   → Represents about 12% of all salivary
  - malignancies
  - →About 4% of all salivary gland tumors
- Must have pre-existing PA
  - →Only clinical history is some cases
  - →Long history of PA or frequent
  - recurrences
  - ✓Risk of 1.5% at 5 years; 10% at 15 years

Carcinoma Ex-Pleomorphic Adenoma Pathology

- ◆Large tumors
  - →Must have adequate sampling
- Malignant component adjacent to benign
- ◆Often poorly differentiated carcinoma
   →Salivary duct carcinoma common
- ♦ Infiltrative pattern
- Remarkable cytological atypia
- ◆Scarring and sclerosis is common
   →Presence in PA requires additional evaluation

Carcinoma Ex-Pleomorphic Adenoma Classification

◆Subclassified (prognostic significance)
 →Non-invasive = Excellent
 ✓Intracapsular, *in situ*, dysplastic PA

Site: Majority in major glands

Sudden enlargement, with/without

2/3 in parotid

- →Minimally invasive (< 1.5 mm) = Good
- →Invasive (>1.5 mm) = Poor
- ◆Recurrences (40-50%), usually within 5 yrs
- Up to 70% show regional and/or distant metastases
  - →Lungs, bone, brain or liver

























Carcinoma Ex-Pleomorphic Adenoma Prognostic Factors

- ♦Pathologic stage
- ♦ Size
- Histologic grade and type
- Proportion of carcinoma
- Extent of invasion
- ♦Ki-67 labeling index

Canalicular adenoma

Benign salivary gland neoplasm composed of bilayered strands of basaloid cells that branch and anastomose to form variably sized channels (canaliculi)

- ♦Age: >50 years
- ♦Sex: F > M
- Site: Predilection for the upper lip
- Minor salivary glands (exclusively)
- ♦~20% of are multifocal















Basal Cell Adenoma Demographics

- Benign epithelial tumor comprised of a relatively uniform, monomorphous proliferation of basaloid cells
- Not cell origin—only phenotype
   Both duct luminal and myoepithelial cells present
- Don't use "Monomorphic adenoma"
- ◆About 4% of salivary gland tumors
- ♦Age: peak, 60 years
- ♦Sex: F > M
- ♦Site: 80% parotid gland (superficial lateral) 6% upper lip

Basal Cell Adenoma Clinical

- Membranous type basal cell adenomas associated with dermal cylindromas
  - →Face and scalp
  - → Same molecular alterations at chromosome region 16q12-13
- Usually single and encapsulated
  - →Exception: membranous type is multinodular

Basal Cell Adenoma Histology

- ◆Circumscribed and encapsulated
   →Except membranous type
- Variable number of basal, ductal, and myoepithelial cells, but . . .
- ◆Basaloid cells (not "basal") predominant
- Monotonous architecture
- Absence of myxochondroid matrix
- No spindled and plasmacytoid cells

Basal Cell Adenoma Histology

- Small, uniform cuboidal cells with indistinct cell borders, and round to oval nuclei
- Cytoplasm is usually limited, giving "basophilic" appearance
- Larger and smaller cells:
  - →Larger cells are central
  - →Smaller cells cluster at the periphery near stromal interface
- Palisaded alignment





Basal Cell Adenoma Subtypes

#### ♦Four subtypes:

→Solid

Collagenous stroma separates clusters of basaloid epithelial cells

- →Trabecular and tubular
  - ✓Interlacing, narrow bands of basaloid cells ✓ Palisading of the epithelial nuclei along the stromal interface

#### →Membranous

- Large quantities of densely collagenous, eosinophilic, PAS positive, hyaline material separate tumor nests
   "Jigsaw puzzle"-like
- ✓Intracellular droplets may coalesce









Basal Cell Adenoma Immunohistochemistry

- Cytokeratin positive
  - →Most intense in the duct-luminal cells
- $\clubsuit \mathsf{CEA}$  and EMA positive luminal cells
- Peripheral cells positive with smooth muscle actin (SMA), myosin, p63 and S100 protein
- CD117 and bcl-2 are reactive in most tumors











Basal Cell Adenoma Differential Diagnosis

Criteria	Cellular Pleomorphic Adenoma	Basal cell AdenoCA	Adenoid Cystic Ca
Growth	Encapsulated	Invasive	Invasive
Cribriform pattern	-	-	+++
Cells	Plasmacytoid	Basaloid	Ductal/myoepi.
Stroma relationship	Blending	Abrupt	Surrounded
Mitosis	+	++	+++
Necrosis	-	+	+++
Nuclei	Round	Basal	Angular
Perineural invasion	-	+	+++
Matrix	Myxochondroid	Fibrosis	Reduplicated BM

Basal Cell Adenocarcinoma Clinical

Malignant counterpart of basal cell adenoma showing infiltrative growth

- ♦< 1% of all salivary tumors</p>
- ◆Age: 60 years (mean)
- ♦Sex: M = F
- ◆Site: ~90% parotid (superficial lobe)
- ♦ High recurrence rate
  - →Up to 40%

Basal Cell Adenoma Prognosis and Treatment

- Excellent prognosis
- Surgery with rim of normal tissue
- Membranous type tends to be multinodular, and associated with a higher recurrence rate (up to 25%)
  - →Parotidectomy recommended
- ◆Malignant transformation may develop
   →Higher for membranous type

Basal Cell Adenocarcinoma Pathology

- Invasion/infiltration into salivary gland tissue, soft tissue, nerves and vessels
- Same patterns and growth as basal cell adenoma
- ♦Foci of squamous metaplasia
- Nuclear atypia usually minimal
- Mitotic index usually low
- Same immunohistochemistry as basal cell adenoma





Adenoid Cystic Carcinoma Demographics

- Malignant epithelial tumor of modified myoepithelial (abluminal) and ductal (luminal) differentiated cells
- ◆About 5% of all salivary gland tumors
- ◆12% of all malignant tumors
- ◆Age: Peak incidence 6<sup>th</sup> decade
- ♦Sex: F > M (3:2)
- Site: Parotid most common site Half develop in minor salivary glands Most common malignant oral SGT

Adenoid Cystic Carcinoma Clinical

- Slowly growing swellings or nodules
- Tenderness, pain, and facial nerve paralysis frequently develop
- →Related to high incidence of nerve invasion
- Palate tumors frequently have ulceration
- Small tumors are often mobile
- Fixation difficult to assess in palate tumors



Adenoid Cystic Carcinoma Macroscopic

- Poorly circumscribed and unencapsulated
- Small tumors appear well circumscribed, but this is deceiving
- ◆Tumors are firm, white to gray-white
- Multiple frozen section examinations requested due to insidious neural invasion

Adenoid Cystic Carcinoma Histology

- Luminal ductal cells and abluminal modified myoepithelial cells
- Tracking nerves is a hallmark (peri- or intraneural)
- *\*\*Encapsulated*' tumors (minor salivary glands) can be difficult to diagnosis
- Histomorphologically polymorphous but cytomorphologically uniform
- Myoepithelial-type cells with indistinct cell borders, high N:C ratio with angular, basophilic nuclei









Adenoid Cystic Carcinoma Histology

- Three major patterns
- Frequent overlap: use dominant pattern, has prognostic significance:
  - →Cribriform
    - ✓Most common
    - $\checkmark \mathsf{Punched}$  out, sieve, Swiss cheese-like but in fact ...
    - ✓ Surround, blend and are in direct continuity with pseudocystic structures of basophilic glycosaminoglycans or hyalinized basal lamina material
    - ✓True glandular lumens lined by cuboidal ductal cells







Adenoid Cystic Carcinoma Histology

→Tubular

✓ Ductal cells predominate

- ✓ Surrounded by myoepithelial-type cells
- Separated by stroma, although the continuity is more easily visible in this tumor type
- Heavily hyalinized stroma may create "stranded" appearance











Adenoid Cystic Carcinoma Histology

# →Solid (30% or higher)

- ✓ About 15% of all ACC
- ✓Lacks stroma
- ✓ Slightly larger cells with less angular nuclei
- ✓ Basaloid myoepithelial cells predominant
- ✓ Increased mitotic figures (5/10 HPFs)
- ✓Necrosis may be present (pyknosis, apoptosis and comedonecrosis)







Adenoid Cystic Carcinoma Immunohistochemistry

- ◆Dual population of ductal and myoepithelial cells Ductal cells:
- Ductal Cells:
   More intensely reactive with keratins (pankeratin, CK7, CK19)
   Variable reactivity with CEA(p) and EMA
   Abluminal myoepithelial cells:
   Keratin, vimentin, muscle specific actin, smooth muscle actin, SMMHC, p63, calponin
   S-100 protein positive
- S-100 protein positive
  CD117 positive (80%)—especially solid variant
  Not helpful for differential
  MUC1 positive
- ◆Limited to absent GFAP









	Adenoid Cystic Carcinoma		
	Grade 1 (45%)	Grade 2 (35%)	Grading Grade 3 (20%)
Circumscription	Good	Deceptive	Never
Necrosis	No	+/-	+
Bone Invasion	No	+/-	+
Perineural invasion*	+/-	+	+++
Dominant pattern	Tubular	Cribriform	Solid
Pleomorphism	+/-	+	++
Mitoses	Rare	Few	Many
Recurrence	50%	80%	100%
15-year survival	39%	26%	5%

Adenoid Cystic Carcinoma Molecular Alterations

- About 50% have loss of chromosome 12q12
- About 30% have translocations between 9p13-23 and 6q
- LOH at 6q23-25: associated with a poorer prognosis
- Alteration p53: associated with tumor recurrence and progression to solid type

Adenoid Cystic Carcinoma Differential Diagnosis

- Cribriform/tubular growth pattern
  - →Polymorphous low grade adenocarcinoma: ✓Exclusively minor salivary gland, "onion-skin", lacks
    - reduplicated basement membrane, cytologically bland with vesicular chromatin
- Ductal and myoepithelial type cells
  - →Pleomorphic adenoma
    - ✓ Lacks invasion, blends with myxochondroid matrix, plasmacytoid cells
  - → Epithelial-myoepithelial carcinoma ✓ Biphasic pattern
- Basaloid pattern
  - →Basal cell adenoma, adenocarcinoma, solid variant of adenoid cystic carcinoma

Adenoid Cystic Carcinoma Differential Diagnosis – Basaloid Pattern

Criteria	Basal cell Adenoma	Basal cell AdenoCA	Solid Adenoid Cystic Ca
Growth	Encapsulated	Invasive	Invasive
Peripheral palisading	+++	++	+/-
Atypia	+	++	+++
Mitosis	+	++	+++
Necrosis	-	+	+++
Squamous areas	+	++	-
Perineural invasion	-	+	+++
Vascular involvement	-	+	+++
Biological behavior	Benign	Low-grade	High-grade

Adenoid Cystic Carcinoma Prognosis and Management

- ◆Indolent, but relentless, progressive growth
- Worse prognosis with increasing clinical stage
  - →Tumor size, lymph node metastasis, distant metastasis
  - →10-year survival
    - ✓ Stage I 75%
    - ✓ Stage II 43%
    - ✓ Stage III & IV 15%

Adenoid Cystic Carcinoma Prognosis and Management

- ◆Up to 40% occult lymph node metastasis at presentation
- ◆Late onset of metastases (lungs, bone)
- Overall survival:
  - **→**5-year ~80%
  - →10-year ~45%
  - →15-year ~30%

Adenoid Cystic Carcinoma Prognosis and Management

- Worse outcome (recurrence or prognosis):
  - → Solid histologic pattern (15-year: 5%)
  - →Higher grade tumors
  - →Perineural invasion associated with higher recurrence rate (conflicting results)
  - → Sinonasal primaries (worst prognosis)
  - ✓ Palate have the best prognosis
  - ➔Increased Ki-67 index (>5-10%)
- Radical surgery is treatment of choice
   Surgical margin status affects recurrence not overall survival
- Postoperative radiation therapy is commonly used

Polymorphous Low Grade Adenocarcinoma Clinical

- A malignant epithelial tumor characterized by morphological diversity, cytological uniformity, and a low metastatic potential
- PLGA exclusively in minor glands
- ♦Age: 50-70 years
- ♦ Sex: F > M (2:1)
- Palate (60%), junction of hard & soft Upper lip, buccal mucosa, retromolar, and posterior tongue
- ◆Slow growing mass
   →Ulceration, bleeding and pain uncommon



Polymorphous Low Grade Adenocarcinoma Macroscopic

- 2<sup>nd</sup> most common intraoral salivary gland malignancy
- Circumscribed but not encapsulated
- ♦Size:
  - →Up to 4 cm
  - →Mean: 2 cm
- Firm to solid, ovoid masses
- Close to surface epithelium

Polymorphous Low Grade Adenocarcinoma Microscopic

- Intact surface
- ◆ Prominent "targetoid" perineural infiltration
- ♦Fat invasion
- Normal salivary gland incarcerated by tumor
- Background "slate-grey" myxoid degenerated stromal hyalinization









Polymorphous Low Grade Adenocarcinoma Microscopic

- ♦Wide variety of patterns
  - →Lobules, nests, tubules
  - →Linear, single cell (*Indian filing*), concentric targetoid pattern around a nerve
  - →Swirling, "Eye-of-the-storm" appearance
- ♦Cytologically bland
  - →Small to medium polygonal cells
  - →Abundant pale cytoplasm without distinct border
  - →Round nuclei with "vesicular" open nuclear chromatin
  - ➔Mitotic figures are nearly absent











Polymorphous Low Grade Adenocarcinoma Immunohistochemistry

- Positive:
  - →Cytokeratin
  - →S100 protein
  - →CK5/6
  - **→**p63
  - →Glial fibrillary acidic protein (GFAP)
  - →Actin
  - →bcl-2
  - →CD117 (variably positive)

Polymorphous Low Grade Adenocarcinoma Differential Diagnosis

- Small, incisional biopsy and frozen artifacts make separation difficult
- ◆Pleomorphic adenoma
  - →Circumscribed (but palate tumors are often unencapsulated)
  - →Plasmacytoid appearance
  - →Chondroid matrix
- ◆Adenoid cystic carcinoma
  - →Destructive growth
  - →Smaller cells with hyperchromatic, angular nuclei

Polymorphous Low Grade Adenocarcinoma Prognosis and Management

- Excellent (>95% 10-year survival)
- ◆Local recurrence (around 10%)
  - →Higher frequency in palate tumor
  - →Women develop recurrences more often than men
- ◆Regional lymph node metastases up to 15%
- Complete, but conservative surgery
   May be more extensive due to neural invasion
- Neck dissection for proven regional metastases